

CERTIFICATE OF MAILING

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Rebecca A. Bellas

(Type or Print Name of Person Mailing Paper)

Sept. 18, 2003
(Date)

Rebecca A. Bellas
(Signature of Person Mailing Paper)

Docket No. 5001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: Bindra et al.
Serial No.: ----
Filed: HERewith
For: NON-MIGRATING METALLIZED DISAZO GREEN SHADE YELLOW PIGMENT
Art Unit: ----
Examiner: ----

INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120: or (c) U.S. Patent(s) and published application(s) since the subject application was filed after June 30, 2003.

Serial No.: _____
Filing Date: _____

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ____ Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ____ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ☒ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☐ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063 for consideration of this Statement.
- (c) ☐ Before the mailing date of a first Office Action on the merits after a first or second submission after final rejection under 37 C.F.R. 1.129(a).
- (d) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
- (1) ☐ The required certification is given below, or
- (2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
- (3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 50-1063
- (e) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
- (1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
- (2) ☐ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 50-1063.

4. Certification (if applicable)

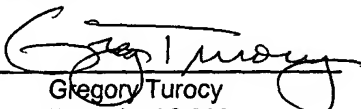
- (a) ☐ The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.
- (b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than 3 months prior to the filing of this Statement.

5. The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1063.

Respectfully Submitted,

AMIN & TUROCY, L.L.P.

24TH Floor, National City Center
1900 East 9th Street
Cleveland, OH 44114
Telephone: (216) 696-8730
Facsimile: (216) 696-8731

By 
Gregory Turocy
Reg. No. 36,952

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Compleat if Known

Application Number	
Filing Date	HEREWITH
First Named Inventor	Bindra et al
Art Unit	
Examiner Name	
Attorney Docket Number	5001

Sheet 1 of 3

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 5,559,216	09/24/1996	Jung et al.	
		US- 5,616,778	04/01/1997	Goldmann et al.	
		US- 5,669,967	09/23/1997	Hays	
		US- 3,978,038	08/31/1976	Cseh et al.	
		US- 4,146,558	03/27/1979	Jefferies et al.	
		US- 4,103,092	07/25/1978	Jefferies et al.	
		US- 3,872,078	03/18/1975	Cseh et al.	
		US- 4,100,157	07/11/1978	Muller et al	
		US- 4,269,769	05/26/1981	Moiso et al.	
		US- 6,254,671	07/03/2001	Hays	
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		CA 1,135,688	11/16/1982	Hunger et al		
		DT 2,336,915	07/19/1975	Hunger et al		
		CH 1,396,526	06/04/1975	Ciby-Geigy Ag		
		CH 1,400,533	07/16/1975	Ciba-Geigy Ag		
		DE 3,501,199	08/01/1985	Goldmann et al		
		CH 585 247	02/28/1977	Sandoz		

Examiner
SignatureDate
Considered

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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First Named Inventor

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Art Unit	
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Examiner Name

Attorney Docket Number	5001
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Sheet	2	of	3
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		Country Code ³ ~Number ⁴ ~Kind Code ⁵ (if known)	MM-DD-YYYY			
		CS 188727B	07/15/1981			
		CS 185798B	10/31/1978			
		EP 25164	03/18/1981	Hunger et al.		
		DE 42 29 207 A1	03/03/1994	Jung et al.		
		FR 1,203,865	05/14/1958	Sandoz		
		DE 431773	12/11/1924	Farbenindustrie		

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Signature

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